

F Young 3000

525 Rec'd T/PTO 05 SEP 2000

EXPRESS MAIL CERTIFICATE

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

| | | | |
|-----------------------|---------------------|------------------|-------------------|
| Typed or Printed Name | Dave Glisson | Express Mail No. | EL 563 386 425 US |
| Signature | <i>Dave Glisson</i> | Date | September 5, 2000 |

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|----------------------|--|
| Attorney Docket | SHIM-006 |
| First Named Inventor | Tamatani et al. |
| Application Number | 09/582,337 |
| Int'l Filing Date | December 16, 1998 |
| Group Art Unit | To Be Assigned |
| Examiner Name | To Be Assigned |
| Title | MONOCLONAL ANTIBODY AGAINST CONNECTIVE TISSUE GROWTH FACTOR AND MEDICINAL USES THEREOF |

ENCLOSURES (check all that apply)

| | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Issue Fee Transmittal (with copy of PTOL-85B) | <input type="checkbox"/> Request for Refund |
| <input checked="" type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> Formal Drawing(s) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Petition Routing Slip and Accompanying Petition | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input checked="" type="checkbox"/> Information Disclosure Statement, 1449 and 19 references | <input type="checkbox"/> To Convert a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Power of Attorney Revocation Associate | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Return Postcard |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 (with copy of Notice to File Missing Parts) | <input type="checkbox"/> Terminal Disclaimer | |
| | <input type="checkbox"/> Small Entity Statement | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|-----------------|--------------------------------|------------------|--------|
| Individual Name | Karl Bozicevic | Registration No. | 28,807 |
| Firm Name | BOZICEVIC, FIELD & FRANCIS LLP | | |
| Signature | <i>Karl Bozicevic</i> | | |
| Date | Sept 5, 2000 | | |

FEE TRANSMITTAL

Note: Effective October 1, 1998.

Patent fees are subject to annual revision.

| | |
|--------------------------------|--|
| Agency Docket Number | SHIM-006 |
| Inventor | Tamatani et al. |
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METHOD OF PAYMENT

1. X The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815. Deposit Account Name: Bozicevic, Field & Francis LLP

FEE CALCULATION

1. FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Due |
|----------------|-----------------|----------------|-----------------|------------------------|---------|
| 101 | 690 | 201 | 345 | Utility filing fee | \$ |
| 102 | 310 | 206 | 155 | Design filing fee | |
| 104 | 480 | 207 | 240 | Plant filing fee | |
| 109 | 690 | 208 | 345 | Reissue filing fee | |
| 110 | 150 | 214 | 75 | Provisional filing fee | |
| Subtotal (1) | | | | | \$ 0.00 |

2. CLAIMS

| No. of claims as filed or after amendment | | | Most claims previously paid | | Extra claims | | Fee from below | | Fee Due |
|---|-----------------|----------------|-----------------------------|-----------------------------------|--------------|---|----------------|---|----------|
| Total claims | 51 | - | 21 | = | 30 | x | 18 | = | \$540.00 |
| Ind. claims | 8 | - | 8 | = | 0 | x | | = | 0 |
| Multiple Dependent claims | | | | | 0 | x | | = | 0 |
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | | | | | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | | | | | |
| 102 | 78 | 202 | 39 | Independent claims in excess of 3 | | | | | |
| 104 | 260 | 204 | 130 | Multiple dependent claim | | | | | |
| Subtotal (2) | | | | | 540.00 | | | | |

3. ADDITIONAL FEES

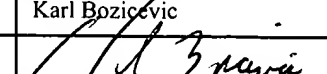
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Due | Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Due |
|----------------|-----------------|----------------|-----------------|---|---------|---------------------|-----------------|----------------|-----------------|--|---------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | | 147 | 2,520 | 147 | 2,520 | Filing a request for reexamination | |
| 115 | 110 | 215 | 55 | Ext. for reply within first month | | 116 | 380 | 216 | 190 | Ext. for reply within second month | |
| 117 | 870 | 217 | 435 | Ext. for reply within third month | | 118 | 1,360 | 218 | 680 | Ext. for reply within fourth month | |
| 128 | 1,850 | 228 | 925 | Ext. for reply within fifth month | | 119 | 300 | 219 | 150 | Notice of Appeal | |
| 120 | 300 | 220 | 150 | Filing brief in support of appeal | | 121 | 260 | 221 | 130 | Request for oral hearing | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | 141 | 1,210 | 241 | 605 | Petition to revive - unintentional | |
| 142 | 1,210 | 242 | 605 | Utility issue fee (or reissue) | | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to prov. appl. | | 126 | 240 | 126 | 240 | Submission of IDS | |
| 146 | 690 | 246 | 345 | Filing submission after final rejection (37 CFR 1.129(a)) | | 149 | 690 | 249 | 345 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | | 113 | 1840* | 113 | 1840* | Requesting publication of SIR after Examiner action | |
| 143 | 430 | 243 | 215 | Design issue fee | | 144 | 580 | 244 | 290 | Plant issue fee | |
| 581 | 40 | 581 | 40 | Recording patent assignment | | Other fee (specify) | | | | | |

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) 0.00

TOTAL AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT 50-0815

(\$) 540.00

| | | | |
|---------------------------|---|--------------------------------|--------------|
| Submitted by (Typed Name) | Karl Bozicevic | BOZICEVIC, FIELD & FRANCIS LLP | |
| Signature |  | Date | Sept 5, 2000 |
| Reg. Number | 28,807 | | |